

DOCKET NO.: UPN-3857

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Smith et al.

Serial No.: 09/730,929 /

Group No.: not yet assigned

Filing Date: December 6, 2000

Examiner: not yet assigned

For: COMPOUNDS WHICH MIMIC THE CHEMICAL AND BIOLOGICAL  
PROPERTIES OF DISCODERMOLIDE

DATE OF DEPOSIT: DEC. 12, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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THE DATE INDICATED ABOVE AND IS ADDRESSED TO  
BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Joseph Lucci  
REGISTRATION NO.: 33,307

Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington DC 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

In response to the Notices of Incomplete Reply dated October 22, 2001 and November  
28, 2001, enclosed herewith for filing is:

- ☒ A copy of the Notice of Incomplete Reply.
- ☒ 48 Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☒ No fee has been identified on the Notice of Incomplete Reply as being due. . If  
this information is inaccurate, the Commission is authorized to charge any  
deficiency or credit any overpayment to Deposit Account No. 23-3050.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

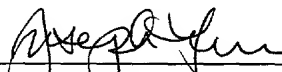
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

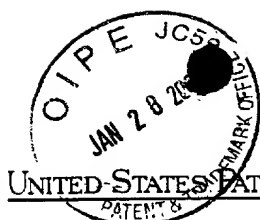
☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

This sheet is attached in duplicate.

Date: DEC. 12, 2001

  
\_\_\_\_\_  
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One Liberty Place - 46th Floor  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/730,929	12/06/2000	Amos B. Smith III	UPN-3827

CONFIRMATION NO. 7967

## FORMALITIES LETTER



\*OC000000007127256\*

Gregory L. Hillyer  
Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103

Date Mailed: 11/28/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 08/24/2001 to the Notice to File Missing Parts (Notice) mailed 01/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

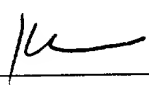
A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

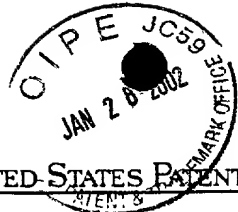
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



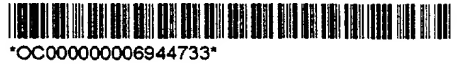
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/730,929	12/06/2000	Amos B. Smith III	UPN-3827

CONFIRMATION NO. 7967

FORMALITIES LETTER



\*OC000000006944733\*

Gregory L. Hillyer  
Woodcock Washburn Kurtz  
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One Liberty Place - 46th Floor  
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OCT 25 2001  
Woodcock Washburn Kurtz  
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Date Mailed: 10/22/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

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The U.S. Patent and Trademark Office has received your reply on 08/24/2001 to the Notice to File Missing Parts (Notice) mailed 01/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

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A copy of this notice **MUST** be returned with the reply.

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